Chromatin modification of Apaf-1 restricts the apoptotic pathway in mature neurons

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Although apoptosis has been extensively studied in developing neurons, the dynamic changes in this pathway after neuronal maturation remain largely unexplored. We show that as neurons mature, cytochrome c–mediated apoptosis progresses from inhibitor of apoptosis protein–dependent to –independent regulation because of a complete loss of Apaf-1 expression. However, after DNA damage, mature neurons resynthesize Apaf-1 through the cell cycle–related E2F1 pathway and restore their apoptotic potential. Surprisingly, we find that E2F1 is sufficient to induce Apaf-1 expression in developing but not mature neurons. Rather, Apaf-1 up-regulation in mature neurons requires both chromatin derepression and E2F1 transcriptional activity. This differential capacity of E2F1 to induce Apaf-1 transcription is because of the association of the Apaf-1 promoter with active chromatin in developing neurons and repressed chromatin in mature neurons. These data specifically illustrate how the apoptotic pathway in mature neurons becomes increasingly restricted by a novel mechanism involving the regulation of chromatin structure.

Introduction

Although apoptosis occurs extensively during neuronal development, it is rarely observed and presumably no longer desired in the mature brain (for review see Benn and Woolf, 2004). However, apoptosis has been observed in adult neurons in response to several pathological situations including neurodegenerative diseases and brain injury, and as a side effect of chemotherapy (Lazarus et al., 1981; Yuan and Yankner, 2000). How the apoptotic pathway is restricted in mature neurons and how these restrictions are overcome after pathological stimuli is unclear.

Multiple cellular stressors cause activation of the caspase proteases and apoptosis in neurons (Putcha and Johnson, 2004). The intrinsic pathway of apoptosis is regulated by the Bcl-2 family of proteins, which control mitochondrial release of cytochrome c. Once in the cytosol, cytochrome c binds to Apaf-1 to form the apoptosome complex. Recruitment of pro-caspase-9 to the apoptosome results in its activation, allowing it to cleave and activate executioner caspases, such as caspase-3, which orchestrate the destruction of the cell (Boatright and Salvesen, 2003). Using knockout mice, we have previously demonstrated that Apaf-1, caspase-9, and caspase-3 are necessary for cytochrome c–induced apoptosis in sympathetic neurons (Wright et al., 2007).

Developing sympathetic neurons (postnatal day 5 [P5]) are acutely dependent on NGF for survival and undergo Bax-mediated cytochrome c release and caspase activation when deprived of NGF (Putcha and Johnson, 2004). In contrast, mature sympathetic neurons (P28) fail to undergo apoptosis after NGF deprivation because of the inability of Bax to translocate to the mitochondria (Easton et al., 1997; Putcha et al., 2000; Orike et al., 2001). Whether other fundamental differences exist in the regulation of apoptosis between developing and mature sympathetic neurons remains unclear.

Cytochrome c–dependent apoptosis in developing sympathetic neurons relies on overcoming endogenous X-linked inhibitor of apoptosis protein (XIAP), which inhibits active caspases. Cytosolic cytochrome c alone is incapable of inducing apoptosis in developing P5 sympathetic neurons (Deshmukh and Johnson, 1998; Neame et al., 1998) unless XIAP is inactivated (Potts et al., 2003). Thus, developing sympathetic neurons engage a XIAP-mediated “safety brake” that ensures they do not undergo apoptosis unless required.

Here, we find that neurons acquire an additional, inhibitor of apoptosis protein (IAP)–independent resistance to apoptosis as they mature. Importantly, we identify chromatin modification...
as important mechanisms by which apoptotic resistance is regulated in maturing neurons.

**Results and discussion**

**Mature sympathetic neurons restrict their cytochrome c-dependent apoptotic pathway by a mechanism independent of IAPs**

In developing P5 neurons, apoptosome-dependent caspase activation is strictly regulated by endogenous XIAP, as cytochrome c is insufficient to induce apoptosis in wild-type neurons but does so effectively in XIAP-deficient neurons (Fig. 1 a; Potts et al., 2003). Surprisingly, XIAP-deficient mature P28 neurons remained completely resistant to injection of cytochrome c (Fig. 1 a). This resistance was not caused by increased regulation by other IAPs, as coinjection of cytochrome c and second mitochondria-derived activator of caspases (Smac; an inhibitor of IAPs) was unable to induce apoptosis (Fig. 1 a). These results indicate that the apoptotic pathway in mature P28 neurons becomes further restricted by mechanisms independent of IAPs.

To determine the underlying mechanism of resistance to cytochrome c in mature neurons, we compared levels of proapoptotic proteins in developing P5 and mature P28 neurons. To obtain P5 neurons, P0 neurons were isolated and cultured for 5 d in vitro, and P6–13 neurons were maintained in culture until the P28 equivalent before experimentation. Although the levels of caspase-9 and -3 remained relatively unchanged, the levels of Apaf-1, which were already low in P5 neurons (Wright et al., 2004), decreased to nearly undetectable levels in P28 neurons (Fig. 1 b). A similar loss of Apaf-1 expression was seen in sympathetic ganglia isolated from P28 mice (Fig. 1 b), confirming the observations in cultured neurons. These results are consistent with previous papers showing a marked reduction in Apaf-1 levels in the adult cortex (Yakovlev et al., 2001) and retina (Donovan and Cotter, 2002).

We tested whether restoration of Apaf-1 would sensitize these cells to cytochrome c. P28 neurons were injected with...
cDNAs expressing GFP and either Apaf-1 or procaspase-9 (as a control), and, after 24 h, to allow for DNA expression, GFP-expressing cells were injected with rhodamine dextran alone or rhodamine dextran and cytochrome c. P28 neurons (both wild type and XIAP deficient) expressing Apaf-1 became sensitive to cytochrome c, indicating that restoring Apaf-1 expression alone was sufficient to restore apoptosis function in mature neurons (Figs. 1 c and S1 a, available at http://www.jcb.org/cgi/content/full/jcb.200708086/DC1).

**DNA damage restores the ability of mature sympathetic neurons to undergo apoptosis through transcriptional up-regulation of Apaf-1**

Despite this resistance to cytochrome c, mature neurons are capable of dying in response to DNA damage (Besirli et al., 2003), albeit slower than developing neurons (Fig. 2 a). Etoposide-induced apoptotic death in mature neurons was effectively blocked with broad spectrum caspase inhibitors Q-VD-OPH and zVAD-fmk (Figs. 2 and S1 b). Interestingly, the time course of cytochrome c release (Fig. 2, b and c) was coincident with the time course of death (Fig. 2 a) in both developing and mature neurons, indicating that apoptosis in mature neurons is slower because of the delayed release of cytochrome c.

Etoposide-treated P28 neurons die shortly after the time of cytochrome c release, indicating that they are permissive for caspase activation by this point. To determine the time course by which caspase activation became permissive, mature neurons were treated with etoposide for 24 or 48 h, which are time points before endogenous cytochrome c release (Fig. 2, b and c), and injected with cytochrome c. Single cell microinjection of cytochrome c allows bypass of any restrictions upstream of the mitochondria and direct focus on assessing the ability of the apoptosome to induce apoptosis. Mature neurons treated with etoposide for 24 h remained resistant (Fig. S2, available at http://www.jcb.org/cgi/content/full/jcb.200708086/DC1); however, at 48 h they underwent rapid apoptosis after injection of cytochrome c (Fig. 3 a). Injecting yeast cytochrome c, which is unable to induce apoptosome formation, did not result in significant death. Notably, P28 neurons treated with etoposide in the presence of either actinomycin D to inhibit transcription or cycloheximide to inhibit protein synthesis remained resistant to cytochrome c (Fig. 3 a). This indicates that both transcription and translation are required for sensitization to cytochrome c–induced apoptosis after DNA damage in mature neurons.

We reasoned that mature P28 neurons must restore expression of Apaf-1 after treatment with etoposide. Indeed, after 48 h of etoposide treatment, a time that precedes endogenous
Cytochrome c release (Fig. 1, b and c). Apaf-1 was dramatically increased at both the mRNA and protein level (Fig. 3 b). Importantly, Apaf-1–deficient P28 neurons were unable to regain sensitivity to cytochrome c after 48 h of etoposide treatment (Fig. 3 c). These results show that mature neurons are critically dependent on the induction of Apaf-1 after DNA damage to permit cytochrome c–mediated caspase activation.

Cell cycle gene expression is necessary but not sufficient for transcriptional up-regulation of Apaf-1 and sensitivity to cytochrome c in mature neurons

To determine how Apaf-1 is transcriptionally induced in mature neurons after etoposide treatment, we examined Apaf-1 transcription factors p53 and E2F1 (Fortin et al., 2001; Moroni et al., 2001). We narrowed our focus to the E2F1 pathway, as the majority of etoposide-treated p53–deficient mature neurons showed sensitivity to cytochrome c (Fig. S3, available at http://www.jcb.org/cgi/content/full/jcb.200708086/DC1). Inhibition of the E2F1 pathway by multiple mechanisms has been shown to be sufficient to delay or inhibit apoptosis in developing neurons (Park et al., 1996; Greene et al., 2004). E2F transcription factors are held inactive by hypophosphorylated retinoblastoma protein pocket proteins, which dissociate after their phosphorylation by the Cdks. We used two different Cdk inhibitors, roscovitine and flavopiridol, to block etoposide-induced E2F activation (Padmanabhan et al., 1999). The addition of either inhibitor completely blocked the etoposide-induced up-regulation of Apaf-1 and the sensitization of mature neurons to cytochrome c (Fig. 4, a and b), demonstrating that the Cdk-dependent activation of the E2F pathway is necessary for the transcription of Apaf-1 by DNA damage in mature neurons.

Surprisingly, although expression of E2F1 alone was sufficient to induce Apaf-1 transcription in P5 neurons, it was unable to do so in P28 neurons (Fig. 4 c). Consistent with this observation, P5 neurons expressing E2F1 developed sensitivity to cytochrome c, whereas P28 neurons expressing E2F1 remained resistant regardless of the time allowed after E2F1 expression (Fig. 4 d). Importantly, the ability of E2F1 to induce sensitivity to cytochrome c in P5 neurons was specific for its transcriptional activity, as a DNA-binding mutant of E2F1 (E2F1 132E; Johnson et al., 1993) failed to do so (Fig. 4 d).

Together, these results show that E2F1 has a differential ability to induce Apaf-1 expression and therefore affect sensitivity to cytochrome c in the P5 developing versus P28 mature neurons. The observation that the activation of the E2F pathway is necessary but not sufficient for Apaf-1 up-regulation in mature neurons suggests that additional molecular events are required.

Apaf-1 undergoes increased chromatin-mediated repression in mature neurons

Transactivation of target genes by transcription factors is critically dependent on the accessibility of chromatin, which is regulated in part by histone modifications. We speculated that changes in chromatin accessibility may underlie the differential ability of E2F1 to induce Apaf-1 transcription in developing but not mature neurons.

To test this prediction, we examined whether chromatin derepression with the addition of the histone deacetylase (HDAC) inhibitor trichostatin A (TSA) was sufficient to induce sensitivity to cytochrome c via the up-regulation of Apaf-1 in developing and mature neurons. Treatment with TSA induced a significant increase in Apaf-1 expression in developing P5 neurons but was unable to do so in mature P28 neurons (Fig. 5 a). Consistent with these results, P5 neurons treated with TSA underwent rapid

![Figure 3](http://www.jcb.org/cgi/content/full/jcb.200708086/DC1)
apoptosis after the injection of cytochrome c, whereas P28 neurons remained resistant (Fig. 5 b).

Because neither E2F1 overexpression nor derepression with the addition of TSA alone was able to induce Apaf-1 up-regulation in mature neurons (Figs. 4 c and 5 a), we hypothesized that this region of chromatin may be highly repressed in mature neurons. Indeed, expression of E2F1 in the presence of TSA induced a marked transcriptional increase in Apaf-1 (Fig. 5 c) and rendered mature P28 neurons sensitive to cytochrome c (Fig. 5 d). This sensitivity of P28 neurons was dependent on the up-regulation of Apaf-1, as neurons isolated from Apaf-1–deficient mice failed to undergo cytochrome c–induced apoptosis after TSA and E2F1 treatment (Fig. 5 e). These data support the model that neuronal maturation is accompanied by increased repression of Apaf-1 at the level of chromatin structure.

We tested this model directly with a chromatin immunoprecipitation (ChIP) assay using antibodies to acetylated histone 3 (AcH3), which is indicative of active chromatin, and histone 3 trimethylated on lysine 9 (MeH3K9), which is indicative of chromatin in a repressed state (Fischle et al., 2003). The AcH3 antibody selectively precipitated significantly more Apaf-1 promoter from developing P5 ganglia than mature P28 ganglia, indicating that Apaf-1 is primarily associated with active chromatin during development (Fig. 5 f). In contrast, the MeH3K9 antibody precipitated the Apaf-1 promoter only from mature P28 ganglia, indicating that after neuronal maturation, this region of chromatin is actively repressed (Fig. 5 f). Together, these results show that Apaf-1 undergoes a dynamic change from an active chromatin state in developing neurons to a repressed chromatin state in mature neurons to effectively restrict the apoptotic potential as neurons mature.

Although developing neurons undergo substantial apoptosis, restrictions in the apoptotic pathway are physiologically important for the long-term survival of mature neurons. Previous studies have shown that mature neurons develop apoptotic restriction at the premitochondrial point of Bax function (Easton et al., 1997; Vekrellis et al., 1997; Vogelbaum et al., 1998). Bax and Apaf-1 represent logical points of control, as they represent two critical points in the apoptotic pathway with no functional redundancy in neurons. The engagement of these specific restraints on the apoptotic pathway with neuronal maturation provides a mechanistic basis for the observed greater incidence of apoptotic death in neonatal versus adult models of brain injury (Blomgren et al., 2003). However, in response to some apoptotic insults such as DNA damage and traumatic brain injury (Yakovlev et al., 2001), mature neurons are able to overcome these restraints and reengage the apoptotic pathway, thus minimizing detrimental effects such as inflammation associated with nonapoptotic forms of death.

Although several studies have demonstrated the intriguing requirement for cell cycle activity in neuronal apoptosis (Greene et al., 2004; Becker and Bonni, 2005), its exact function has...
been less clear. Our hypothesis is that because the expression of certain proapoptotic genes is regulated by cell cycle–related transcriptional factors, exit from cell cycle after differentiation results in decreased transcription of proapoptotic genes. Therefore, neurons undergoing apoptosis must first reactivate cell cycle machinery and synthesize proapoptotic proteins. Neurons can use the E2F1 pathway to reach their apoptotic threshold by inducing the expression of Bim (Biswas et al., 2005) and Bad (Konishi and Bonni, 2003) upstream of cytochrome c release and Apaf-1 downstream of cytochrome c.

The ability of the E2F pathway to induce expression of proapoptotic target genes during neuronal apoptosis can occur through either direct transactivation or derepression (Greene et al., 2004; Becker and Bonni, 2005). Disruption of a repressive complex containing E2F4 and the retinoblastoma protein pocket protein p130 is sufficient for the up-regulation of proapoptotic E2F target genes in embryonic cortical neurons (Liu et al., 2005). Likewise, derepression with an HDAC inhibitor alone is sufficient to activate E2F1 target genes in early postnatal cerebellar granule neurons (Boutillier et al., 2003) and developing but not mature retina (Wallace et al., 2006). Here, we report that either over-expression of E2F1 or HDAC inhibition was indeed sufficient to induce Apaf-1 transcription and sensitivity to cytochrome c in developing but not mature sympathetic neurons (Figs. 4 and 5).

In contrast, we found that both gene derepression and E2F1 transactivation were required for Apaf-1 expression in mature neurons (Fig. 5, c and d), indicating that neuronal maturation is accompanied by the progressive repression of Apaf-1. This was confirmed by demonstrating that the Apaf-1 promoter was associated with active chromatin (AcH3) in developing neurons and repressed chromatin (MeH3K9) in mature neurons (Fig. 5f). These results identify the regulation of Apaf-1 transcription at the level of chromatin structure as a key component in restricting the apoptotic pathway during neuronal maturation.

Although changes in the chromatin state of proapoptotic genes adds a level of control for restricting apoptosis in mature neurons, defects in this mechanism could contribute to neurodegeneration by maintaining neuronal sensitivity to apoptosis.
during adulthood (Mattson and Magnus, 2006). The potential use of HDAC inhibitors for treatment of various cancers has recently gained prominence (Bolden et al., 2006). Our results caution that these compounds may have unintended consequences, sensitizing these otherwise resistant neurons to pathological stimuli.

Finally, other proapoptotic genes that are targets of the E2F1 pathway during neuronal apoptosis could also be repressed by a similar mechanism in mature neurons. Indeed, dynamic changes in chromatin-mediated repression of proapoptotic genes with maturation may represent a general mechanism designed to ensure the long-term survival of not only neurons but other postmitotic cells as well.

**Materials and methods**

**Reagents**

All reagents were obtained from Sigma-Aldrich or Thermo Fisher Scientific unless stated otherwise. Collagenase and trypsin were obtained from Worthington Biochemical Corporation. QVD-OPH was obtained from MP Biomedicals. Flavopiridol was a gift of D. Park (University of Ottawa, Ottawa, Canada). Plasmid DNAs expressing E2F1 wild type and mutant 132E were a gift of A. Bonni (Harvard University, Cambridge, MA). Our method of generating Smac protein has been described previously (Potts et al., 2003). p53-deficient mice were purchased from the Jackson Laboratory. XIAP-deficient mice were a gift of C. Thompson (University of Pennsylvania, Philadelphia, PA). Apaf-1-deficient mice were generated by J. Herz (The University of Texas Southwestern Medical Center, Dallas, TX) and provided by S. Ackerman (The Jackson Laboratory, Bar Harbor, MA).

**Cell culture**

Primary sympathetic neurons were isolated from superior cervical ganglia as described previously (Potts et al., 2003), with some modifications. For mature neurons, superior cervical ganglia were dissected from P6–13 mice and treated with 1 mg/ml collagenase followed by 1 mg/ml trypsin for 1 h at 37°C. P0 neurons were maintained in culture for 5 d for P5 neurons and P6–13 neurons were maintained in culture until the P28 equivalent before experimentation.

**Cell treatments**

Where indicated, cells were treated with 20 μM etoposide. The broad specturm caspase inhibitor QVD-OPH was used at a concentration of 25 μM. Cell survival was assessed at multiple time points by morphology, with cells that had intact, phase-bright cell bodies scored as alive. This method of assessing neuronal survival correlates well with other cell survival assays such as trypan blue exclusion and staining with calcien AM (Potts et al., 2003). E2F1 and GFP adenoviruses were provided by J. Cook (University of North Carolina at Chapel Hill, Chapel Hill, NC). Virus particles were purified on a cesium chloride gradient and tittered in 293T cells. P5 sympathetic neurons were infected at an MOI of 100–250 and P28 sympathetic neurons were infected at an MOI of 1,000. Data shown are mean ± SEM of three independent experiments with a minimum of 100 cells counted for each condition.

**Microinjections**

Microinjections were performed as described previously (Wright et al., 2007). DNA microinjections contained 50 ng/μl EGFP (Clontech Laboratories, Inc.) and 200 ng/μl of indicated plasmid. Cytochrome c injections were 10 mg/ml and Smac injections were 1 mg/ml. Data shown are mean ± SEM of at least three independent experiments and each experiment represents a minimum of 50 cells for each condition.

**Western blot**

Western blots were performed as described previously (Potts et al., 2003). Primary antibodies were as follows: anti-Apaf-1 (13F11; Giboigen); anti–procaspase-3 (Upstate Biotechnology); anti-caspase-9 (MD543; MBL International); and anti-nucleoporin (BD Biosciences). HRP-conjugated secondary antibodies were purchased from Thermo Fisher Scientific. Proteins were detected using ECL-Plus and scanned with an imager (Typhoon; both from GE Healthcare). Data shown are representative of three independent experiments. Densitometry was performed using ImageJ software and normalized to nucleoporin levels. The densitometry is represented as fold change ± SEM.

**Immunochemistry**

Immunohistochemistry was performed as described previously (Wright et al., 2007). Data shown are representative of three independent experiments. Status of cytochrome c with etoposide treated was performed in the presence of QVD-OPH to block caspase activation, which allows us to block cell death and therefore access the status of cytochrome c (released or intact).

**Semiquantitative RT-PCR**

Our method for semiquantitative RT-PCR analysis has been described previously (Potts et al., 2003). Data shown are representative of three independent experiments. Primer sets used for PCR amplification of Apaf-1 and GAPDH were as follows: Apaf-1 forward (5′-GAGGCACAATGGAGCCAA-AOG-3′) and reverse (5′-GGCTGCTCGTGATGTAAGG-3′) and GAPDH forward (5′-CCATGGAAGGCTGCGG-3′) and reverse (5′-CAAGATGTC- A11GGATGCC-3′).

**ChIP assay**

ChIP was performed using the Chromatin Immunoprecipitation Assay Kit (Millipore) according to the manufacturer’s instructions. In brief, superior cervical ganglia were isolated from P5 or 28 mice and washed in ice-cold PBS. Ganglia were cross-linked by incubating with 0.1% formaldehyde in PBS for 30 min at room temperature. Cross-linking was halted with the addition of glycine to 0.125 M for 10 min. Ganglia were washed three times in ice-cold PBS and resuspended in 300 μl of SDS lysis buffer and tumbled for 30 min at 4°C. Ganglia were then sonicated for 13 pulses of 5 s each at 35% amplitude to achieve a 0.5–1 mm rest period between each pulse. Sonicated chromatin was diluted to a final volume of 1,600 μl with ChIP dilution buffer and precleared by incubation with 35 μl of protein A-agarose/salmon sperm DNA for 1 h at 4°C. After preclearing, 100 μl of the sample was set aside for input control and the remaining chromatin was divided equally between conditions. Samples were incubated with 5 μg of the indicated antibodies for 16 h at 4°C. Antibody–histone complexes were recovered by incubating with 35 μl of protein A-agarose/salmon sperm DNA for 5 h at 4°C. Beads were centrifuged, washed, and eluted according to the manufacturer’s instructions. Cross-linking was reversed with the addition of NaCl to a final concentration of 200 mM for 4 h at 65°C and protein complexes were degraded with the addition of 2 μg proteinase K for 1 h at 45°C. DNA was recovered with phenol-chloroform extraction followed by ethanalysis precipitation at −20°C overnight and resuspended in 50 μl TE buffer. 5 μl DNA was used for each PCR reaction. A no-antibody condition was run as a negative control and a fraction of the immunoprecipitated chromatin was used to determine input. Data shown are representative of three independent experiments.

Primers used to amplify the region identified as the minimal core promoter for Apaf-1 (available from GenBank/EMBL/DDB) under accession no. NP070309) were as follows: forward (5′-TGAGGAGCACAGCTCCTCAAAGGAG-3′) and reverse (5′-CAAAGATCTCCGGAGGATCCAGAC-3′).

**Image acquisition and processing**

All images were acquired by a digital black and white charge-coupled device camera (ORCA-ER; Hamamatsu) mounted on an inverted fluorescence microscope (DMIRE 2; Leica). The secondary antibody used for immunohistochemistry was Cy3. Cy3 was detected with a filter set (TRITC Dil, HQ) and the Hoechst 33258 was detected by a Hoechst filter set (both from Chroma Technology Corp.). The objective used was HC PL APO CS 63× 1.320.0 oil (Leica). Samples were mounted in glycerol containing mounting media and imaged by oil immersion. All images were taken at room temperature. The image acquisition software was Metamorph 5.0 (MDS Analytical Technologies). Images were scaled down and cropped in Photoshop (Adobe) to prepare the final figures.

**Online supplemental material**

Fig. S1 shows the assessment of neuronal death of P28 XIAP-deficient neurons treated with etoposide for 48 h followed by cytochrome c injections. This figure also shows the time course of neuronal survival of P28 neurons treated with etoposide in the presence of the general caspase inhibitor zVAD-fmk. Fig. S2 shows the assessment of neuronal survival of P28 neurons treated with etoposide for 24 h followed by cytochrome c microinjection. Online supplemental material is available at http://www.jcb.org/cgi/content/full/jcb.200707086/DC1.

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